

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 04-01-2020, and ending 03-31-2021

Name of foundation HIMAN BROWN CHARITABLE TRUST
A Employer identification number 27-6669056
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
PRYOR CASHMAN 7 TIMES SQUARE
B Telephone number (see instructions) (212) 421-4100
City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10036
C If exemption application is pending, check here
G Check all that apply: Initial return, Initial return of a former public charity, Final return, Amended return, Address change, Name change
D 1. Foreign organizations, check here, 2. Foreign organizations meeting the 85% test, check here and attach computation ...
H Check type of organization: Section 501(c)(3) exempt private foundation, Section 4947(a)(1) nonexempt charitable trust, Other taxable private foundation
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 107,280,659
J Accounting method: Cash, Accrual, Other (specify)
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes (cash basis only). Rows include Revenue (1-12) and Operating and Administrative Expenses (13-26), followed by summary rows 27-29.

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	1,022,458	57,145	57,145
	2 Savings and temporary cash investments	5,425,635	4,489,652	4,489,652
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	357,187	417,161	445,168
	b Investments—corporate stock (attach schedule)	50,903,156	52,606,674	76,418,974
	c Investments—corporate bonds (attach schedule)	13,823,060	12,406,960	12,837,113
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	13,497,883	14,280,871	13,032,607
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	85,029,379	84,258,463	107,280,659	
Liabilities	17 Accounts payable and accrued expenses	1,151	58,527	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	1,151	58,527	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	85,028,228	84,199,936	
29 Total net assets or fund balances (see instructions)	85,028,228	84,199,936		
30 Total liabilities and net assets/fund balances (see instructions)	85,029,379	84,258,463		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	85,028,228
2 Enter amount from Part I, line 27a	2	-828,292
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	84,199,936
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	84,199,936

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES - MERRILL LYNCH	P		
b PUBLICLY TRADED SECURITIES - MERRILL LYNCH COST BASIS ADJUSTMENT	P		
c PUBLICLY TRADED SECURITIES - FIDELITY 5468	P		
d PUBLICLY TRADED SECURITIES - FIDELITY 5492	P		
e PUBLICLY TRADED SECURITIES - FIDELITY 5492 COST BASIS ADJUSTMENT	P		
PUBLICLY TRADED SECURITIES - FIDELITY 9563	P		
PUBLICLY TRADED SECURITIES - FIDELITY 5551	P		
PUBLICLY TRADED SECURITIES - FIDELITY 2223	P		
PUBLICLY TRADED SECURITIES - OPPENHEIMER	P		
PUBLICLY TRADED SECURITIES - OPPENHEIMER - BASIS ADJUSTMENT	P		
PUBLICLY TRADED SECURITIES - MORGAN STANLEY	P		
PUBLICLY TRADED SECURITIES - MORGAN STANLEY - BASIS ADJUSTMENT	P		
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,038,764		4,925,045	1,113,719
b 16,206			16,206
c			0
d 1,572,963		1,810,256	-237,293
e			0
2,298,406		2,314,880	-16,474
5,739,933		4,808,620	931,313
1,144,750		754,194	390,556
3,665,507		3,211,917	453,590
		66,164	-66,164
19,929		19,938	-9
		103	-103
81,756			81,756

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a			1,113,719
b			16,206
c			0
d			-237,293
e			0
			-16,474
			931,313
			390,556
			453,590
			-66,164
			-9
			-103
			81,756

Capital gain net income or (net capital loss) } { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } **2** 2,667,097

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8 } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 main rows and sub-rows (a-e) for credits. Columns include line numbers and amounts. Total amount shown is 67,014.

Part VII-A Statements Regarding Activities

Table with 10 main rows and sub-rows (a-e) for activities. Columns include Yes/No responses. Includes questions about political activities, tax returns, and substantial contributors.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.				No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions				No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	Yes			
14	The books are in care of ▶ <u>RICHARD L KAY ESQ</u> Telephone no. ▶ <u>(212) 421-4100</u> Located at ▶ <u>PRYOR CASHMAN 7 TIMES SQUARE NEW YORK NY</u> ZIP+4 ▶ <u>10036</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ and enter the amount of tax-exempt interest received or accrued during the year 15				
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign	Yes	No	Yes	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No				
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b			No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c			No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b			
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No				
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b			
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a			No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b			No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation...
(2) Influence the outcome of any specific public election...
(3) Provide a grant to an individual for travel, study, or other similar purposes?
(4) Provide a grant to an organization other than a charitable, etc., organization...
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes...
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions...
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax...
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

Table with 3 columns: Yes, No, and a third column for tracking. Rows correspond to questions 5a(1-5), 5b, 5c, 6a, 6b, 7a, 7b, and 8.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

Table with 5 columns: (a) Name and address, (b) Title, and average hours per week devoted to position, (c) Compensation (If not paid, enter -0-), (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. Includes entry for RICHARD L KAY.

2 Compensation of five highest-paid employees (other than those included on line 1--see instructions). If none, enter "NONE."

Table with 5 columns: (a) Name and address of each employee paid more than \$50,000, (b) Title, and average hours per week devoted to position, (c) Compensation, (d) Contributions to employee benefit plans and deferred compensation, (e) Expense account, other allowances. First row contains 'NONE'.

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MIAMI PHILANTHROPIC CONSULTING INC 2301 LAGUNA CIRCLE 803 NORTH MIAMI, FL 33181	PHILANTHROPIC ADVISOR	250,000
GREENFIELD STEIN & SENIOR LLP 600 3RD AVENUE 11 NEW YORK, NY 10016	ATTORNEY	189,140
MERRILL LYNCH WEALTH MGMT 1251 AVE AMERICAS NEW YORK, NY 10020	INVESTMENT ADVISORY	171,913
MICHAEL B KRAMER & ASSOCIATES 488 MADISON AVENUE SUITE 1120 NEW YORK, NY 10022	ATTORNEY	166,059
SONTAG ADVISORY LLC 261 MADISON AVENUE 14TH FL NEW YORK, NY 10016	INVESTMENT ADVISORY	97,093

Total number of others receiving over \$50,000 for professional services. ▶
0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0
2	
All other program-related investments. See instructions.	
3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	81,763,166
b	Average of monthly cash balances.	1b	5,229,483
c	Fair market value of all other assets (see instructions).	1c	11,359,364
d	Total (add lines 1a, b, and c).	1d	98,352,013
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	98,352,013
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,475,280
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	96,876,733
6	Minimum investment return. Enter 5% of line 5.	6	4,843,837

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,843,837
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	67,014
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b.	2c	67,014
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,776,823
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,776,823
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,776,823

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,553,418
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,553,418
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,553,418

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				4,776,823
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.	1,214,065			
c From 2017.	758,292			
d From 2018.	371,811			
e From 2019.				
f Total of lines 3a through e.	2,344,168			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>5,553,418</u>				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount				4,776,823
e Remaining amount distributed out of corpus	776,595			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,120,763			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	3,120,763			
10 Analysis of line 9:				
a Excess from 2016	1,214,065			
b Excess from 2017	758,292			
c Excess from 2018.	371,811			
d Excess from 2019				
e Excess from 2020	776,595			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
b 85% of line 2a
c Qualifying distributions from Part XII, line 4 for each year listed
d Amounts included in line 2c not used directly for active conduct of exempt activities
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

Table with 5 columns: Tax year (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows correspond to lines 2a-e and 3a-d.

3 Complete 3a, b, or c for the alternative test relied upon:
a "Assets" alternative test—enter:
(1) Value of all assets
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
c "Support" alternative test—enter:
(1) Total support other than gross investment income
(2) Support from general public and 5 or more exempt organizations
(3) Largest amount of support from an exempt organization
(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KEY BISCAYNE COMMUNITY FOUNDATION 88 W MCINTYRE STREET SUITE 200 KEY BISCAYNE, FL 33149			GENERAL	250,000
THE ALLEN-STEVENSON SCHOOL 132 EAST 78TH STREET NEW YORK, NY 10268			EDUCATIONAL	150,000
SANCTUARY FOR FAMILIES PO BOX 1406 WALL STREET STATION NEW YORK, NY 10268			GENERAL	15,000
92ND ST Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128			EDUCATIONAL	600,000
GREATER CLEVELAND VOLUNTEERS 4415 EUCLID AVENUE SUITE 200 CLEVELAND, OH 44103			GENERAL	5,000
THE DOE FUND 232 EAST 84TH STREET NEW YORK, NY 10028			GENERAL	10,000
CENTRAL PARK CONSERVANCY INC 14 E 60TH STREET 8TH FL NEW YORK, NY 10022			GENERAL	10,000
RONALD MCDONALD HOUSE NEW YORK 405 E 73RD STREET NEW YORK, NY 10021			GENERAL	35,000
HILLEL INTERNATIONAL - THE FOUNDATION FOR JEWISH CAMPUS LIFE 800 EIGHTH STREET NW WASHINGTON, DC 20001			EDUCATIONAL	25,000
ARTWORKS NYC INC 1088 PARK AVE 9D NEW YORK, NY 10128			EDUCATIONAL	10,000
SOUTH PLAINS COLLEGE 1401 COLLEGE AVENUE LEVELLAND, TX 79336			EDUCATIONAL	50,000
DC CENTRAL KITCHEN 425 SECOND STREET NW WASHINGTON, DC 20001			GENERAL	50,000
CAPITAL AREA FOOD BANK 4900 PUERTO RICO AVENUE NE WASHINGTON, DC 20017			GENERAL	50,000
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817			EDUCATIONAL	20,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022			GENERAL	145,000
NEW YORK PRESBYTERIAN FUND INC 525 EAST 68TH STREET BOX 156 NEW YORK, NY 10065			MEDICAL	250,000
CORNELL UNIVERSITY - CORNELL HILLEL 130 E SENECA STREET SUITE 400 ITHACA, NY 14850			EDUCATIONAL	20,000
HORIZONS GREATER WASHINGTON INC 3000 CATHEDRAL AVENUE NW WASHINGTON, DC 20008			EDUCATIONAL	10,000
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817			EDUCATIONAL	62,500
REPAIR THE WORLD INC 1460 BROADWAY NEW YORK, NY 10036			GENERAL	100,000
REPAIR THE WORLD INC (MIAMI FL) 1460 BROADWAY NEW YORK, NY 10036			GENERAL	200,000
T'SUVAH CENTER 22 CORPORATE WOODS BLVD SUITE 501 ALBANY, NY 12211			GENERAL	25,000
CITY HARVEST 6 EAST 32ND STREET 5TH FLOOR NEW YORK, NY 10016			GENERAL	5,000
ISLAND HARVEST 15 GRUMMAN ROAD WEST SUITE 1450 BETHPAGE, NY 11714			GENERAL	5,000
HORIZONS GREATER WASHINGTON INC 3000 CATHEDRAL AVENUE NW WASHINGTON, DC 20008			EDUCATIONAL	100,000
METROPOLITAN OPERA 30 LINCOLN CENTER PLAZA NEW YORK, NY 100236922			GENERAL	10,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 331373279			GENERAL	50,000
PLANNED PARENTHOOD OF SOUTH FLORIDA 2300 N FLORIDA MANGO ROAD WEST PALM BEACH, FL 33409			EDUCATIONAL	125,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022			GENERAL	150,000
COMMUNITIES IN SCHOOLS OF THE NATIONS CAPITAL INC 1023 31ST STREET NW SUITE 510 WASHINGTON, DC 20007			EDUCATIONAL	80,000
CENTRAL PARK CONSERVANCY INC 14 E 60TH STREET 8TH FL NEW YORK, NY 10022			GENERAL	20,000
92ND ST Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128			EDUCATIONAL	500,000
GLOBAL CAMPS AFRICA 11911 FREEDOM DRIVE 850 RESTON, VA 20190			GENERAL	10,000
ARENCIEL USA 124 W 23RD STREET APT 12A NEW YORK, NY 100112370			GENERAL	5,000
MASTERVOICES INC 1441 BROADWAY SUITE 3024 NEW YORK, NY 10018			GENERAL	10,000
SOUTH FLORIDA YOUTH FOUNDATION 523 MICHIGAN AVENUE MIAMI BEACH, FL 33139			GENERAL	25,000
BRANCHES INC 11500 NW 12TH AVENUE MIAMI, FL 33168			EDUCATIONAL	50,000
BREAKTHROUGH MIAMI INC 3250 SW 3RD AVENUE 6TH FLOOR MIAMI, FL 33129			EDUCATIONAL	50,000
ADRIENNE ARSHT CENTER FOUNDATION INC (AILEY CAMP) 1300 BISCAYNE BLVD MIAMI, FL 33132			GENERAL	50,000
UNIVERSITY OF MIAMI - PEDIATRIC MOBILE UNIT 8932 SOUTHWEST 97TH AVENUE MIAMI, FL 33176			MEDICAL	50,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 331373279			GENERAL	50,000
KEY BISCAYNE COMMUNITY FOUNDATION 88 W MCINTYRE STREET SUITE 200 KEY BISCAYNE, FL 33149			GENERAL	100,000
GOD'S LOVE WE DELIVER 166 AVENUE OF THE AMERICAS NEW YORK, NY 10013			GENERAL	150,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022			GENERAL	150,000
ISLAND HARVEST 15 GRUMMAN ROAD WEST SUITE 1450 BETHPAGE, NY 11714			GENERAL	10,000
LEUKEMIA & LYMPHOMA SOCIETY 200 S PARK RD SUITE 140 HOLLYWOOD, FL 33021			MEDICAL	5,000
BIG BROTHERS & BIG SISTERS OF NY 40 RECTOR STREET 11TH FLOOR NEW YORK, NY 10006			GENERAL	10,000
THE DOE FUND 232 EAST 84TH STREET NEW YORK, NY 10028			GENERAL	10,000
MIAMI NORTHWESTERN SENIOR HIGH 1100 NW 71ST ST MIAMI, FL 33150			EDUCATIONAL	1,446
ANTI DEFAMATION LEAGUE 605 3RD AVE NEW YORK, NY 10158			GENERAL	25,000
AFTER SCHOOL ALL STARS 8000 UTOPIA PARKWAY JAMAICA, NY 11439			GENERAL	100,000
CENTRAL SYNAGOGUE 652 LEXINGTON AVENUE NEW YORK, NY 10022			GENERAL	18,300
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023			GENERAL	25,000
CORNELL UNIVERSITY - CORNELL HILLEL 130 E SENECA STREET SUITE 400 ITHACA, NY 14850			GENERAL	25,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022			GENERAL	10,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022			GENERAL	50,000
AMERICAN BALLET THEATRE 890 BROADWAY THIRD FLOOR NEW YORK, NY 10003			GENERAL	10,000
MIAMI NORTHWESTERN SENIOR HIGH 1100 NW 71ST ST MIAMI, FL 33150			EDUCATIONAL	1,129
CENTRAL SYNAGOGUE 652 LEXINGTON AVENUE NEW YORK, NY 10022			GENERAL	50,000
PLANNED PARENTHOOD OF SOUTH FLORIDA 2300 N FLORIDA MANGO ROAD WEST PALM BEACH, FL 33409			EDUCATIONAL	125,000
FOUNDATION FOR NEW EDUCATIONAL INITIATIVES 1450 NE SECOND AVENUE SUITE 931 MIAMI, FL 33132			EDUCATIONAL	50,000
GREATER MIAMI JEWISH FEDERATION 4200 BISCAYNE BLVD MIAMI, FL 331373279			GENERAL	50,000
RONALD MCDONALD HOUSE OF THE GREATER HUDSON VALLEY PO BOX 299 80 WOODS ROAD VALHALLA, NY 10595			GENERAL	25,000
BRANCHES INC 11500 NW 12TH AVENUE MIAMI, FL 33168			EDUCATIONAL	50,000
Total			3a	4,488,375
b <i>Approved for future payment</i>				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

- 1 Program service revenue:
a
b
c
d
e
f
g Fees and contracts from government agencies
2 Membership dues and assessments.
3 Interest on savings and temporary cash investments
4 Dividends and interest from securities
5 Net rental income or (loss) from real estate:
a Debt-financed property.
b Not debt-financed property.
6 Net rental income or (loss) from personal property
7 Other investment income.
8 Gain or (loss) from sales of assets other than inventory
9 Net income or (loss) from special events:
10 Gross profit or (loss) from sales of inventory
11 Other revenue: a
b
c
d
e

Table with 5 columns: (a) Business code, (b) Amount, (c) Exclusion code, (d) Amount, (e) Related or exempt function income. Includes rows for program service revenue, interest on investments, dividends, rental income, and other revenue, with a total row at the bottom.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Table with 2 columns: Line No., Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Table with columns Yes, No and rows 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), 1c.

- a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets. b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations. c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge. Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.) Yes No

Table for Paid Preparer Use Only with columns: Print/Type preparer's name, Preparer's Signature, Date, Check if self-employed, PTIN, Firm's name, Firm's address, Firm's EIN, Phone no.

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	74,975	37,487		37,488

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount
NONE	0

TY 2020 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIDELITY INVESTMENTS - 647-239563	1,472,265	1,472,779
ML CORP BONDS - SCHEDULE ATTACHED	1,170,364	1,206,847
ML GOV'T AGENCIES - SCHEDULE ATTACHED	196,680	158,271
MORGAN STANLEY 052-163655-704	30,110	30,076
OPPENHEIMER & CO INC.	9,537,541	9,969,140

TY 2020 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIDELITY INVESTMENTS - 647-265551	23,869,573	37,533,326
FIDELITY INVESTMENTS - 656-251474	1,829,583	2,104,791
FIDELITY INVESTMENTS - 656-362223	4,671,896	6,895,220
MERRILL LYNCH EQUITIES & MUTUAL FUNDS	20,035,622	27,637,237
OPPENHEIMER & CO INC.	2,200,000	2,248,400

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

**US Government Securities - End of
Year Book Value:**

417,161

**US Government Securities - End of
Year Fair Market Value:**

445,168

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2020 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLUE ROCK INVESTMENT	AT COST	2,563,929	3,234,539
FRANKLIN SQUARE RE INC. CL Y	AT COST	1,552,270	1,550,339
FS INVESTMENT CORP III INSTITUTIONAL	AT COST	250,000	0
FS KKR CAPITAL CORP. II	AT COST	5,000,000	2,723,302
GOLUB CAPITAL PARTNERS 11	AT COST	874,113	902,264
MERRILL LYNCH #850-39188 (BLACKSTONE R/E)	AT COST	1,278,655	1,841,681
MORGAN STANLEY 052-163655-704-TCB BDC II	AT COST	2,462,874	2,355,963
NYDIG - BITCOIN INVESTMENT	AT COST	299,030	424,519

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	393,450	0		393,450

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNT FEES	1,708	1,708		0
ADR FEES	1,156	1,156		0
INSURANCE	7,282	0		7,282
NY STATE FILING FEE	1,500	0		0
OFFICE EXPENSE	13,962	0		13,962
BANK FEES	45	45		0
MISC. EXPENSES	17,379	0		0

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITIGATION INCOME	519	519	519
OTHER INCOME	61,241	61,241	61,241

TY 2020 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	313,245	313,245		0
CONSULTING FEES-PENSION ADVISOR	25,000	0		25,000
CONSULTING FEES-FINANCIAL ADVISOR	0	0		0
CONSULTING FEES-PHILANTHROPIC ADVISOR	250,000	0		250,000

TY 2020 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	77,129	0		0
PAYROLL TAXES	6,292	0		6,292
FOREIGN WITHHOLDING TAXES	17,142	17,142		0
OTHER TAXES	494	494		0