

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

Open to Public Inspection

For calendar year 2021, or tax year beginning 04-01-2021 , and ending 03-31-2022

Name of foundation HIMAN BROWN CHARITABLE TRUST		A Employer identification number 27-6669056
Number and street (or P.O. box number if mail is not delivered to street address) PRYOR CASHMAN 7 TIMES SQUARE	Room/suite	B Telephone number (see instructions) (212) 421-4100
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10036		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>107,627,802</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	2,665,390	2,665,390		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	4,262,646			
b	Gross sales price for all assets on line 6a	19,424,624			
7	Capital gain net income (from Part IV, line 2)		4,262,646		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	98,714	98,714		
12	Total. Add lines 1 through 11	7,026,750	7,026,750		
13	Compensation of officers, directors, trustees, etc.	200,000	50,000		150,000
14	Other employee salaries and wages	98,000	0		98,000
15	Pension plans, employee benefits	9,112	0		9,112
16a	Legal fees (attach schedule)	36,671	0		36,671
b	Accounting fees (attach schedule)	112,514	56,257		56,257
c	Other professional fees (attach schedule)	533,275	358,275		175,000
17	Interest	9,662	9,662		0
18	Taxes (attach schedule) (see instructions)	98,101	19,361		7,740
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	25,255	0		25,255
22	Printing and publications				
23	Other expenses (attach schedule)	25,805	2,334		23,469
24	Total operating and administrative expenses.				
	Add lines 13 through 23	1,148,395	495,889		581,504
25	Contributions, gifts, grants paid	5,115,090			5,115,090
26	Total expenses and disbursements. Add lines 24 and 25	6,263,485	495,889		5,696,594
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	763,265			
b	Net investment income (if negative, enter -0-)		6,530,861		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	57,145	1,665,318	1,665,318
	2 Savings and temporary cash investments	4,489,652	7,326,891	7,326,891
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	417,161	612,324	571,193
	b Investments—corporate stock (attach schedule)	52,606,674	53,301,293	75,718,715
	c Investments—corporate bonds (attach schedule)	12,406,960	7,419,932	7,342,092
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	14,280,871	14,639,992	15,003,593
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	84,258,463	84,965,750	107,627,802	
Liabilities	17 Accounts payable and accrued expenses	58,527	2,549	
	18 Grants payable			
	19 Deferred revenue.			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	58,527	2,549	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	84,199,936	84,963,201	
29 Total net assets or fund balances (see instructions)	84,199,936	84,963,201		
30 Total liabilities and net assets/fund balances (see instructions)	84,258,463	84,965,750		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	84,199,936
2 Enter amount from Part I, line 27a	2	763,265
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	84,963,201
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29.	6	84,963,201

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES FIDELITY 9563			
b PUBLICLY TRADED SECURITIES FIDELITY 6551			
c PUBLICLY TRADED SECURITIES FIDELITY 2223			
d PUBLICLY TRADED SECURITIES ML 1258			
e PUBLICLY TRADED SECURITIES OPPENHEIMER G24			
PUBLICLY TRADED SECURITIES MORGAN STANLEY 5704			
CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,259,122		1,261,309	-2,187
b 1,823,861		1,401,297	422,564
c 3,782,127		2,293,977	1,488,150
d 6,581,035		4,623,166	1,957,869
e 5,511,161		5,469,794	41,367
112,000		112,435	-435
355,318			355,318

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(j) F.M.V. as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			-2,187
b			422,564
c			1,488,150
d			1,957,869
e			41,367
			-435
			355,318

Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	4,262,646
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}	3	

Part V Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Exempt operating foundations described in section 4940(d)(2), check here [] and enter Bracket for line 1a "N/A" on line 1.
1a Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)
b Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
2 Add lines 1 and 2.
3 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
4 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
5 Credits/Payments:
6a 2021 estimated tax payments and 2020 overpayment credited to 2021
6b Exempt foreign organizations—tax withheld at source
6c Tax paid with application for extension of time to file (Form 8868)
6d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty for underpayment of estimated tax. Check here [] if Form 2220 is attached.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.
11 Enter the amount of line 10 to be: Credited to 2022 estimated tax Refunded

Part VI-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition.
c Did the foundation file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the foundation. (2) On foundation managers.
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
By language in the governing instrument, or
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the foundation have at least \$5,000 in assets at any time during the year?
8a Enter the states to which the foundation reports or with which it is registered (see instructions)
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2021 or the taxable year beginning in 2021?
10 Did any persons become substantial contributors during the tax year?

Part VI-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of RICHARD L KAY ESQ Telephone no. (212) 421-4100
Located at PRYOR CASHMAN 7 TIMES SQUARE NEW YORK NY 10036 ZIP+4
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here
16 At any time during calendar year 2021, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6); did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions
c Organizations relying on a current notice regarding disaster assistance check here.
d Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2021?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2021, did the foundation have any undistributed income (lines 6d and 6e, Part XII) for tax year(s) beginning before 2021? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2021 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2021.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2021?

Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

- 5a** During the year did the foundation pay or incur any amount to:
 - (1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
 - (2)** Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
 - (3)** Provide a grant to an individual for travel, study, or other similar purposes?
 - (4)** Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions
 - (5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?
- b** If any answer is "Yes" to 5a(1)–(5); did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions
- c** Organizations relying on a current notice regarding disaster assistance check here
- d** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?
If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?
- b** If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?
- 8** Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?

	Yes	No
5a(1)	No	No
5a(2)	No	No
5a(3)	No	No
5a(4)	No	No
5a(5)	No	No
5b	No	No
5d	No	No
6a	No	No
6b	No	No
7a	No	No
7b	No	No
8	No	No

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD L KAY PRYOR CASHMAN LLP 7 TIMES SQUARE NEW YORK, NY 10036	TRUSTEE 20.00	200,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MERRILL LYNCH WEALTH MGMT 1251 AVE AMERICAS NEW YORK, NY 10020	INVESTMENT ADVISORY	202,035
MIAMI PHILANTHROPIC CONSULTING INC 2301 LAGUNA CIRCLE 803 NORTH MIAMI, FL 33181	PHILANTHROPIC ADVISOR	150,000
SONTAG ADVISORY LLC 261 MADISON AVENUE 14TH FL NEW YORK, NY 10016	INVESTMENT ADVISORY	118,264
ABRAHAM J DANIEL 100 SE 2ND STREET STE 2000 MIAMI, FL 33131	ACCOUNTANT	94,000

Total number of others receiving over \$50,000 for professional services. ▶

0

Part VIII- Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	0
2	
3	
4	

Part VIII- Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	0
2	
3 All other program-related investments. See instructions.	0
Total. Add lines 1 through 3	0

Part IX Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	89,790,214
b	Average of monthly cash balances.	1b	6,979,180
c	Fair market value of all other assets (see instructions).	1c	12,082,540
d	Total (add lines 1a, b, and c).	1d	108,851,934
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d.	3	108,851,934
4	Cash deemed held for charitable activities. Enter 1.5% (0.015) of line 3 (for greater amount, see instructions).	4	1,632,779
5	Net value of noncharitable-use assets. Subtract line 4 from line 3.	5	107,219,155
6	Minimum investment return. Enter 5% (0.05) of line 5.	6	5,360,958

Part X Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part IX, line 6.	1	5,360,958
2a	Tax on investment income for 2021 from Part V, line 5.	2a	90,779
b	Income tax for 2021. (This does not include the tax from Part V.).	2b	
c	Add lines 2a and 2b.	2c	90,779
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,270,179
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	5,270,179
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XII, line 1.	7	5,270,179

Part XI Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	
b	Program-related investments—total from Part VIII-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part XII, line 4.	4	

Part XII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2020	(c) 2020	(d) 2021
1 Distributable amount for 2021 from Part X, line 7				5,270,179
2 Undistributed income, if any, as of the end of 2021:				
a Enter amount for 2020 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2021:				
a From 2016.	1,214,065			
b From 2017.	758,292			
c From 2018.	371,811			
d From 2019.	1,573,890			
e From 2020.	776,593			
f Total of lines 3a through e.	4,694,651			
4 Qualifying distributions for 2021 from Part XI, line 4: ▶ \$ <u>5,696,594</u>				
a Applied to 2020, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2021 distributable amount				5,270,179
e Remaining amount distributed out of corpus	426,415			
5 Excess distributions carryover applied to 2021. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	5,121,066			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2022				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2016 not applied on line 5 or line 7 (see instructions)	1,214,065			
9 Excess distributions carryover to 2022. Subtract lines 7 and 8 from line 6a	3,907,001			
10 Analysis of line 9:				
a Excess from 2017	758,292			
b Excess from 2018	371,811			
c Excess from 2019.	1,573,890			
d Excess from 2020	776,593			
e Excess from 2021	426,415			

Part XIII Private Operating Foundations (see instructions and Part VI-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2021, enter the date of the ruling _____

b. Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2021	(b) 2020	(c) 2019	(d) 2018	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% (0.85) of line 2a					
c Qualifying distributions from Part XI, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part IX, line 6 for each year listed . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
-
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions
-
- a** The name, address, and telephone number or email address of the person to whom applications should be addressed:
-
- b** The form in which applications should be submitted and information and materials they should include:
-
- c** Any submission deadlines:
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
92ND ST Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	NA		EDUCATIONAL	500,000
92ND ST Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	NA		EDUCATIONAL	100,000
92ND ST Y 1395 LEXINGTON AVENUE NEW YORK, NY 10128	NA		EDUCATIONAL	500,000
ADRIENNE ARSHT CENTER FOUNDATION INC (AILEY CAMP) 1300 BISCAYNE BLVD MIAMI, FL 33132	NA		GENERAL	50,000
AFTER-SCHOOL ALL-STARS WASHINGTON DC 1730 RHODE ISLAND AVE NW 600 WASGINGTON, DC 20036	NA		GENERAL	150,000
AMERICAN BALLET THEATRE 890 BROADWAY THIRD FLOOR NEW YORK, NY 10003	NA		GENERAL	15,000
ANTI DEFACTION LEAGUE 605 3RD AVE NEW YORK, NY 10158	NA		GENERAL	50,000
ARTWORKS NYC INC 1088 PARK AVE 9D NEW YORK, NY 10128	NA		EDUCATIONAL	15,000
BIG BROTHERS & BIG SISTERS OF NY 40 RECTOR STREET 11TH FLOOR NEW YORK, NY 10006	NA		GENERAL	10,000
BRANCHES INC 11500 NW 12TH AVENUE MIAMI, FL 33168	NA		EDUCATIONAL	50,000
BREAKTHROUGH MIAMI INC 3250 SW 3RD AVENUE 6TH FLOOR MIAMI, FL 33129	NA		EDUCATIONAL	50,000
CARTER BURDEN NETWORK 415 EAST 73RD STREET NEW YORK, NY 10021	NA		GENERAL	25,000
CENTRAL PARK CONSERVANCY INC 14 E 60TH STREET 8TH FL NEW YORK, NY 10022	NA		GENERAL	25,000
CENTRAL SYNAGOGUE 652 LEXINGTON AVENUE NEW YORK, NY 10022	NA		GENERAL	25,000
CENTRAL SYNAGOGUE 652 LEXINGTON AVENUE NEW YORK, NY 10022	NA		GENERAL	40,000
CITY YEAR (CITY YEAR MIAMI) 287 COLUMBUS AVENUE BOSTON, MA 02116	NA		EDUCATIONAL	25,000
COMMUNITIES IN SCHOOLS OF THE NATIONS CAPITAL INC 1023 31ST STREET NW SUITE 510 WASHINGTON, DC 20007	NA		EDUCATIONAL	80,000
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	NA		EDUCATIONAL	500,000
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	NA		EDUCATIONAL	25,000
CORNELL UNIVERSITY 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	NA		EDUCATIONAL	20,000
CORNELL UNIVERSITY - CORNELL HILLEL 130 E SENECA STREET SUITE 400 ITHACA, NY 14850	NA		EDUCATIONAL	100,000
CROHN'S & COLITIS FOUNDATION OF AMERICA 733 THIRD AVENUE SUITE 510 NEW YORK, NY 10017	NA		MEDICAL	30,000
DANCING DREAMS 23-91 BELL BLVD BAYSIDE, NY 11360	NA		GENERAL	15,000
FARM SHARE INC 14125 SW 320TH STREET HOMESTEAD, FL 33033	NA		GENERAL	50,000
FOUNDATION FOR NEW EDUCATIONAL INITIATIVES 1450 NE SECOND AVENUE SUITE 931 MIAMI, FL 33132	NA		EDUCATIONAL	1,500
FOUNDATION FOR NEW EDUCATIONAL INITIATIVES 1450 NE SECOND AVENUE SUITE 931 MIAMI, FL 33132	NA		EDUCATIONAL	50,000
FOUNDATION FOR NEW EDUCATIONAL INITIATIVES 1450 NE SECOND AVENUE SUITE 931 MIAMI, FL 33132	NA		EDUCATIONAL	10,500
GLOBAL CAMPS AFRICA 11911 FREEDOM DRIVE 850 RESTON, VA 20190	NA		GENERAL	15,000
GOOD SPORTS INC 1515 WASHINGTON STREET SUITE 300 BRAintree, MA 02184	NA		EDUCATIONAL	25,000
GREATER CLEVELAND VOLUNTEERS 4415 EUCLID AVENUE SUITE 200 CLEVELAND, OH 44103	NA		GENERAL	5,000
HEALTH IN THE HOOD 2020 N BAYSHORE DRIVE 4102 MIAMI, FL 33137	NA		HUMAN SERVICE ORGANIZATION	25,000
HILLEL INTERNATIONAL - THE FOUNDATION FOR JEWISH CAMPUS LIFE 800 EIGHTH STREET NW WASHINGTON, DC 20001	NA		EDUCATIONAL	25,000
HORIZONS GREATER WASHINGTON INC 3000 CATHEDRAL AVENUE NW WASHINGTON, DC 20008	NA		EDUCATIONAL	100,000
HORIZONS GREATER WASHINGTON INC 3000 CATHEDRAL AVENUE NW WASHINGTON, DC 20008	NA		EDUCATIONAL	150,000
HUNTER COLLEGE FOUNDATION 695 PARK AVENUE ROOM 1601E NEW YORK, NY 100655024	NA		EDUCATIONAL	50,000
ISLAND HARVEST 15 GRUMMAN ROAD WEST SUITE 1450 BETHPAGE, NY 11714	NA		GENERAL	10,000
KEY BISCAYNE COMMUNITY FOUNDATION 88 W MCINTYRE STREET SUITE 200 KEY BISCAYNE, FL 33149	NA		GENERAL	200,000
KEY BISCAYNE COMMUNITY FOUNDATION 88 W MCINTYRE STREET SUITE 200 KEY BISCAYNE, FL 33149	NA		GENERAL	100,000
LEUKEMIA & LYMPHOMA SOCIETY 200 S PARK RD SUITE 140 HOLLYWOOD, FL 33021	NA		MEDICAL	5,000
LEUKEMIA & LYMPHOMA SOCIETY 200 S PARK RD SUITE 140 HOLLYWOOD, FL 33021	NA		MEDICAL	5,000
MASTEROICES INC 1441 BROADWAY SUITE 3024 NEW YORK, NY 10018	NA		GENERAL	10,000
METROPOLITAN OPERA ORCHESTRA COMMITTEE 30 LINCOLN CENTER PLAZA NEW YORK, NY 100236922	NA		GENERAL	25,000
MIAMI NORTHWESTERN SENIOR HIGH 1100 NW 71ST ST MIAMI, FL 33150	NA		EDUCATIONAL	4,200
MIAMI NORTHWESTERN SENIOR HIGH (MIGAWARDS) 1100 NW 71ST ST MIAMI, FL 33150	NA		EDUCATIONAL	3,890
NEW YORK PRESBYTERIAN FUND INC 525 EAST 68TH STREET BOX 156 NEW YORK, NY 10065	NA		MEDICAL	200,000
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817	NA		EDUCATIONAL	10,000
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817	NA		EDUCATIONAL	150,000
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817	NA		EDUCATIONAL	62,500
NORWOOD SCHOOL INC 8821 RIVER ROAD BETHESDA, MD 20817	NA		EDUCATIONAL	62,500
PLANNED PARENTHOOD OF SOUTH FLORIDA 2300 N FLORIDA MANGO ROAD WEST PALM BEACH, FL 33409	NA		EDUCATIONAL	155,000
REPAIR THE WORLD INC 1460 BROADWAY NEW YORK, NY 10036	NA		GENERAL	200,000
REPAIR THE WORLD INC 1460 BROADWAY NEW YORK, NY 10036	NA		GENERAL	100,000
RONALD MCDONALD HOUSE NEW YORK 405 E 73RD STREET NEW YORK, NY 10021	NA		GENERAL	10,000
RONALD MCDONALD HOUSE OF THE GREATER HUDSON VALLEY PO BOX 299 80 WOODS ROAD VALHALLA, NY 10595	NA		GENERAL	25,000
SECTION 16H GROUP 323 BERGEN BLVD FAIRVIEW, NY 07022	NA		GENERAL	25,000
SOUTH FLORIDA YOUTH FOUNDATION 523 MICHIGAN AVENUE MIAMI BEACH, FL 33139	NA		GENERAL	25,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NA		MEDICAL	25,000
ST JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST JUDE PLACE MEMPHIS, TN 38105	NA		MEDICAL	25,000
SUMMER SEARCH 304 12TH STREET SUITE 4A OAKLAND, CA 94607	NA		EDUCATIONAL	5,000
THE ALLEN-STEVENSON SCHOOL 132 EAST 78TH STREET NEW YORK, NY 100750381	NA		EDUCATIONAL	150,000
THE DOE FUND 232 EAST 84TH STREET NEW YORK, NY 10028	NA		GENERAL	10,000
THE SIDWELL FRIENDS SCHOOL 3825 WISCONSIN AVENUE NW WASHINGTON, DC 20016	NA		EDUCATIONAL	25,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	95,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	75,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	10,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	200,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	50,000
UJA FEDERATION OF NY INC 130 EAST 59TH STREET NEW YORK, NY 10022	NA		GENERAL	100,000
UNIVERSITY OF MIAMI - PEDIATRIC MOBILE UNIT 8932 SOUTHWEST 97TH AVENUE MIAMI, FL 33176	NA		MEDICAL	25,000
WORLD OF CHILDREN 11501 DUBLIN BLVD SUITE 200 DUBLIN, CA 94568	NA		EDUCATIONAL	25,000
Total				5,115,090
b Approved for future payment				
Total				

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

Part XVI

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash.

(2) Other assets.

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization.

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets.

(4) Reimbursement arrangements.

(5) Loans or loan guarantees.

(6) Performance of services or membership or fundraising solicitations.

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Table with columns Yes and No for items 1a(1), 1a(2), 1b(1), 1b(2), 1b(3), 1b(4), 1b(5), 1b(6), and 1c.

Table with 4 columns: (a) Line No., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527?

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee, Date (2023-02-13), Title

May the IRS discuss this return with the preparer shown below? See instructions. Yes No

Paid Preparer Use Only

Table for paid preparer information including name (BRIAN J GLOZNEK), signature, date, firm name (HERTZ HERSON CPA LLP), address (575 LEXINGTON AVENUE), PTIN (P00106849), and phone number ((212) 686-7160).

Additional Data

[Return to Form](#)

Software ID:

Software Version:

Form 990PF - Special Condition Description:

Special Condition Description

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	112,514	56,257		56,257

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount
NONE	0

TY 2021 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIDELITY INVESTMENTS - 647-239563	1,544,737	1,508,003
ML CORP BONDS - SCHEDULE ATTACHED	1,488,152	1,427,549
ML GOV'T AGENCIES - SCHEDULE ATTACHED	213,539	205,739
MORGAN STANLEY 052-163655-704	98,064	96,791
OPPENHEIMER & CO INC.	4,075,440	4,104,010

TY 2021 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIDELITY INVESTMENTS - 647-265551	25,712,424	39,720,919
FIDELITY INVESTMENTS - 656-251474	1,743,228	2,487,627
FIDELITY INVESTMENTS - 656-362223	3,229,156	4,382,098
MERRILL LYNCH EQUITIES & MUTUAL FUNDS	20,416,485	27,158,411
OPPENHEIMER & CO INC.	2,200,000	1,969,660

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

**US Government Securities - End of
Year Book Value:**

612,324

**US Government Securities - End of
Year Fair Market Value:**

571,193

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2021 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
BLUE ROCK INVESTMENT	AT COST	2,639,425	4,128,290
FRANKLIN SQUARE RE INC. CL Y	AT COST	1,563,041	1,537,999
GOLUB CAPITAL PARTNERS 11	AT COST	857,719	857,719
MERRILL LYNCH #850-39188 (BLACKSTONE R/E)	AT COST	1,185,473	2,287,450
MORGAN STANLEY 052-163655-704-TCB BDC II	AT COST	2,721,007	2,747,451
NYDIG - BITCOIN INVESTMENT	AT COST	299,873	330,303
TCG BDC II CPV & DMV	AT COST	163,810	92,054
FRANKLIN SQUARE KKR CAPITAL CORP	AT COST	5,209,644	3,022,327

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	36,671	0		36,671

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNT FEES	972	972		0
ADR FEES	1,322	1,322		0
INSURANCE	1,166	0		1,166
NY STATE FILING FEE	1,500	0		1,500
OFFICE EXPENSE	20,803	0		20,803
BANK FEES	40	40		0
MISC. EXPENSES	2	0		0

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITIGATION INCOME	2,740	2,740	2,740
OTHER INCOME	95,974	95,974	95,974

TY 2021 IRS 990 e-File Render**Name:** HIMAN BROWN CHARITABLE TRUST**EIN:** 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	358,275	358,275		0
CONSULTING FEES-PENSION ADVISOR	25,000	0		25,000
CONSULTING FEES-FINANCIAL ADVISOR	0	0		0
CONSULTING FEES-PHILANTHROPIC ADVISOR	150,000	0		150,000

TY 2021 IRS 990 e-File Render

Name: HIMAN BROWN CHARITABLE TRUST

EIN: 27-6669056

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	71,000	0		0
PAYROLL TAXES	7,740	0		7,740
FOREIGN WITHHOLDING TAXES	18,821	18,821		0
OTHER TAXES	540	540		0